# 8 Solar cooling evaluation – Climate feasibility

Application potential of self-sufficient cooling facades on different warm climates<sup>7</sup>

Small-scale systems and integrated concepts are currently being explored by researchers to promote widespread application of solar cooling technologies in buildings. Chapter 7 presented a qualitative evaluation of these technologies in terms of their potential for façade integration. Moreover, this chapter expands the assessment of application possibilities by exploring the feasibility of solar cooling integrated façades, as decentralised self-sufficient cooling modules, on different warm climate contexts. The climate feasibility of solar electric and solar thermal concepts is evaluated based on solar availability and local cooling demands to be met by current technical possibilities.

Numerical calculations are employed for the evaluation, considering statistical climate data; cooling demands per orientation from scenarios simulated in Chapter 6; and state-of-the-art efficiency values as reference for current performance limits of solar cooling technologies, from Chapter 7. Main results show that in general, warm-dry climates and east/west orientations are more suited for solar cooling façade applications. Results from the base scenario show promising potential for solar thermal technologies, reaching a theoretical solar fraction of 100% in several cases. Application possibilities expand when higher solar array area and lower tilt angle on panels are considered, but these imply aesthetical and constructional constraints for façade design. Finally, recommendations are drafted considering prospects for the exploration of suitable technologies for each location, and façade design considerations for the optimisation of the solar input per orientation.

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#### § 8.1 Introduction

Solar cooling technologies have gained increasing attention in the last decades, being explored as potential alternatives to conventional systems, in order to cope with rising cooling requirements in the built environment (OECD/IEA, 2012; Prieto, Knaack, Klein, et al., 2017). Global cooling demands are growing due to several factors such as higher standards of life, temperature in the urban environment, and climate change (Santamouris, 2016), so there is a pressing need for environmentally friendly technologies, driven by renewable energy sources. Solar cooling technologies are driven by solar radiation, throughout thermal or electric processes; using no refrigerants, nor working materials with low global warming potential (Henning, 2007; Prieto et al., 2017a). Common vapour-compression systems commercially available are highly efficient, compared to current solar cooling systems, but rely on the use of hydrofluorocarbons (HFCs), with global warming potential 1,430 times that of CO<sub>2</sub> (IPCC/TEAP, 2005). So, even though they could be driven by solar-generated electricity, are not considered within the range of environmentally friendly alternatives addressed under solar cooling systems.

These systems have been researched and developed, mostly focusing on their performance, but their application in the built environment remains mostly limited to large demonstration projects and pilot experiences (Balaras et al., 2007). In that regard, small-scale designs and prototypes are being developed by researchers, in order to promote widespread architectural application of these technologies in buildings, under the concept of solar cooling facades (Prieto et al., 2017a). These integrated concepts seize economic and functional benefits derived from the integration of decentralised components in the façade, while using the available exposed area for direct and diffuse solar collection. Economic benefits from façade integration refer to construction cost savings and extra leasable space from avoiding complex distribution systems and large equipment (Franzke et al., 2003; Prieto, Klein, et al., 2017), and functional advantages range from efficient energy usage by identifying local demands, to increased comfort due to personal control (Mahler & Himmler, 2008). On the other hand, the façade not only comprises available external surface, but also directly influences indoor comfort. In warm climates, peak solar irradiance in façades usually match peak cooling demands in the adjacent offices, so it makes sense to harvest that radiation to drive a cooling system; while blocking solar heat gains under a climate responsive facade design.

Solar cooling façade concepts found in the literature are based either on solar electric processes, using thermoelectric modules (Ibañez-Puy et al., 2018; Liu et al., 2015); or solar thermal processes, integrating sorption (Avesani, 2016; Bonato et al., 2016) or desiccant cooling (SolarInvent, n.d.) systems. Nonetheless, although they are regarded

as relevant experiences, pushing current technical boundaries; they are standalone concepts or prototypes developed in a specific climate. This, at best, allows for proof of concept under similar climatic conditions; but does not directly allow for replicability on other climates; nor gives information about the overall suitability of said climate, for the development and application of solar cooling technologies in the first place.

In broad terms, the application of a decentralised solar cooling system depends on two main factors, heavily dependent of the climate context where the system operates: (a) solar availability; and (b) cooling demands. The solar availability determines the potential energy input of the system, which, combined with the overall efficiency of the particular cooling process, provides the theoretical cooling output of the unit. While the efficiency of the process is given by the technical maturity and operational limits of the equipment associated with a cooling principle, solar availability depends on façade orientation, relative position to the equator, and climate conditions of any given location. On the other hand, cooling demands largely depend on the climate, and secondarily on the design of the building and particularly its façade. Thus, cooling requirements may be greatly reduced under a climate responsive design through the application of passive strategies. Whilst solar availability is beneficial for power and heat generation, passive cooling design strategies aim to protect the interior space from solar radiation, and dissipate heat generated indoors, thus avoiding overheating.

This chapter explores the potential for application of solar cooling integrated facades, as decentralised self-sustaining cooling modules, on different climate contexts, based on solar availability and cooling requirements to be met by current technical possibilities. The climate feasibility of the integrated concepts is assessed throughout numerical calculations based on climate data and building scenarios simulated with specialised software. Technical issues to solve associated to each addressed technology are out of the scope of the present document. Hence, the evaluation focuses on identifying climate suitability for selected solar cooling technologies, while assessing certain façade design parameters and their impact on the overall feasibility, discussing broad possibilities and constraints for the design of façade concepts for different locations and orientations.

# § 8.2 Strategy and methods: experimental setup and parameters involved in the assessment

This chapter evaluates the application feasibility of self-sustaining solar cooling façade modules on office or commercial buildings. It focuses on the current performance of

selected solar cooling technologies; and their potential to cope with indoor cooling demands by themselves, hence, without the need for complementary building services. Therefore, the main unit for the analysis is the daily solar fraction of the system (SF), theoretically calculated according to eq. 8.1.  $\textit{COOL}_{\text{\tiny reg}}$  refers to the cooling demands of a specific interior space, while SCOOL refers to the 'cooling effect' delivered indoors by the solar cooling system. So, a solar fraction of 100% or more, means that the system is capable of handling the cooling demands of a given space by itself, provided that all evaluating parameters and conditions are met in reality. The assessment will consider then, the solar availability and cooling demands for a representative summer day as a simplified basis for the evaluation.

$$SF = \frac{SCOOL_{out}}{COOL_{reg}}$$
 (eq. 8.1)

Both the cooling demands and the cooling output of the system highly depend on the climate context, especially on temperature distribution and availability of solar radiation at any given location. Thus, the analysis is conducted in six different locations, representing several warm climate zones across the northern hemisphere. Table 8.1 shows the selected cities, along with the Koppen-Geiger climate zones they represent and the severity of the climate in terms of cooling degree days (CDD). The analysis considers three warm dry and three warm humid locations, each with one example of an extreme climate and two temperate climates of different severity, to account for a wide range of climatic scenarios.

TABLE 8.1 Cities selected for the assessment, representing several warm climate zones.

CITY	LATITUDE/LONGITUDE	CLIMATE ZONES	CDD (26 °C)
Riyadh	24.70 / 46.73	Hot desert (BWh)	1583
Athens	37.90 / 23.73	Hot-summer mediterranean (Csa)	
Lisbon	38.72 / -9.15		69
Singapore	1.37 / 103.98	Tropical rainforest (Af)	992
Hong-Kong	22.30 / 114.17	Humid Subtropical (Cwa)	602
Trieste	45.65 / 13.75	Humid Subtropical (Cfa)	88

# § 8.2.1 Cooling requirements ( $COOL_{reg}$ ) and base case for the evaluation

Cooling demands were obtained though the dynamic energy simulation software DesignBuilder v4.7, as the graphical interface of EnergyPlus v8.3 (NREL, n.d.-a). The base case used for the assessment is a single office room of 16 m², considered adiabatic for the purpose of the evaluation. The assessment was carried out for all orientations, with the simulation parameters depicted in Table 8.2. Passive design strategies such as a reduced window-to-wall ratio, the application of sun shading, solar control glazing and the use of ventilation for cooling purposes are judged as a necessary step to decrease cooling demands, before integrating active systems into the building envelope. The parameters defined for the simulation were derived from the results from Chapter 6, resulting on virtually similar base cases for all climate zones, with the only exemption being the restriction of ventilation for solely hygienic purposes in Singapore and Hong Kong, following the most favourable design solutions per climate zone.

TABLE 8.2 Design and operational parameters for the dynamic energy simulation of the base case defined for the assessment.

SIMULATION PARAMETERS	ALL OTHER LOCATIONS	SINGAPORE & HONG KONG					
Office dimensions	4.0 x 4.0 x 2.7 m (width x depth x height) + plenum of 0.7 m						
Thermal comfort range	Maximum temp. of 26 °C and relative humidit	y between 25%-55%					
Occupant loads	0.1 people/m²						
Equipment loads	11.77 W/m²						
Lighting loads	(on demand) 12 W/m² for a target illuminance of 400 lux						
Ventilation (hygienic purposes)	10 L/s per person						
Ventilation (cooling purposes)	5 ACH max when it's thermodynamically feasible (external temperature below internal temperature).	NO					
Window-to-wall ratio	25% (Wall U-value: 0.26 W/m²K)						
Sun shading system	Dynamic exterior shading on operation over 100 W/m² of solar irradiance on facades.						
Glazing type	Double clear glass (6-13-6 mm with air in cavit U-value: 2.7 W/m²K / SHGC: 0.7	ty)					

The cooling demands for all scenarios are shown in Table 8.3. It is important to point out that these serve as reference for the assessment at hand and do not claim to be fully passively optimised scenarios. Hence, while they consider important cooling savings compared to a scenario with no strategies, they could probably reach further savings under a thorough design optimisation process. Several results were obtained from the simulations. Firstly, yearly cooling demands per square meter are depicted as

reference of the overall performance of the office room under normalised units, for every orientation and selected location. Annual demands of a base case without any passive strategies (no solar control strategies, window-to-wall ratio of virtually 100%, and ventilation only for hygienic purposes) are shown in comparison to the improved base case used for the analysis as further evidence of the high impact of passive strategies on decreasing cooling loads.

TABLE 8.3 Simulated cooling demands for all orientations and locations considered in the assessment.

LOCATION	SUMMER DESIGN WEEK	ORIENTATION	BASE CASE (NO PASSIVE STRATEGIES)		IMPROVED BASE CASE (WITH PASSIVE STRATEGIES)			
			Cooling yearly demands (kWh/m² year)	Cooling yearly demands (kWh/m² year)	Cooling Design Capacity (kW)	AVG daily cooling in summer design week (kWh day)		
Riyadh	July	South	298.92	92.67	1.19	11.69		
	20-26th	West	336.43	95.11	1.23	12.26		
		East	342.14	91.56	1.21	12.26		
		North	175.93	84.36	1.16	11.34		
Athens	August	South	231.28	56.00	1.10	10.95		
	3-9th	West	190.69	57.02	1.10	11.27		
		East	210.57	54.70	1.08	10.94		
		North	94.44	50.21	1.03	10.25		
	July	South	224.37	33.01	0.92	7.73		
	15-21st	West	148.25	33.13	0.91	7.86		
		East	227.47	33.56	0.90	7.72		
		North	72.72	27.65	0.85	7.27		
Singapore	June	South	334.30	223.96	1.59	14.11		
	4-10th	West	385.16	228.49	1.64	14.38		
		East	398.33	219.72	1.59	13.82		
		North	349.13	215.12	1.57	13.72		
Hong Kong	July	South	246.53	143.99	1.61	13.76		
	22-28th	West	255.69	144.34	1.67	14.15		
		East	247.97	135.87	1.62	13.77		
		North	186.29	130.87	1.57	13.38		
Trieste	July	South	140.68	40.74	1.26	9.75		
	20-26th	West	110.38	41.12	1.26	9.88		
		East	115.28	37.87	1.22	9.51		
		North	66.74	36.13	1.18	8.80		

The assessment considers daily cooling demands and solar availability as main input, so the average daily cooling demands were calculated for each orientation and location, based on their respective summer design week. This week consists of the most critical summer period and is defined by DesignBuilder based on the information on the weather file corresponding to each location. The average values shown above consider only the five working days of said week, when the cooling system is designed to operate. Similarly, the cooling design capacity is the highest resulting cooling load at a given amount of time, multiplied by a factor of 1.15 in order to provide a margin for sizing the cooling system. The summer design week was also considered to obtain the average solar irradiance per orientation at each selected location.

## § 8.2.2 Solar cooling output (SCOOL and boundary conditions for the assessment

The cooling output (heat removed by the solar cooling system) is theoretically calculated through the simplified equation below (eq. 8.2), where  $SOL_{\rm input}$  refers to the availability of solar radiation on a specific location/orientation,  $SOL_{\rm array}$  refers to the area destined for collection, and  $COP_{\rm solarsys}$  refers to the efficiency of the system implemented for said collection, either PV-panels or solar thermal collectors for electricity and heat respectively. On the other hand,  $COP_{\rm coolsys}$  refers to the coefficient of performance of current solar cooling technologies and systems. This simplified equation does not consider transmission and parasitic losses, nor additional equipment such as storage units, serving a comparative purpose between technical possibilities to assess the broad feasibility of self-sustaining solar cooling facades in different climate contexts. Hence, detailed calculations would be needed in order to delve into the required specifics in real life applications.

$$SCOOL_{out} = SOL_{input} * SOL_{array} * COP_{solarsys} * COP_{coolsys}$$
 (eq. 8.2)

Daily solar irradiance values ( $SOL_{input}$  - kWh/m² day) for all locations were obtained from the EnergyPlus weather files used for the cooling demand simulations, through System Advisor Model v.2017.9.5, a software developed by the National Renewable Energy Laboratory (NREL) of the US. Department of Energy (NREL, n.d.-b). Monthly average daily solar radiation was obtained for south, west and east orientations, considering a 90° tilted plane as worst case scenario for solar collection on facades (vertical application). The values used for the assessment correspond to the months that contain the summer design week, as depicted in Table 8.4.

TABLE 8.4 Daily average solar irradiance in facades in all orientations and locations for the summer design month.

LOCATION	OCATION LATITUDE/		DAILY AVG SOLAR IRRADIANCE IN 90° TILTED PLANE (KWH/M²/DAY)				
	LONGITUDE		SOUTH	WEST	EAST	NORTH	
Riyadh	24.70 / 46.73	July	1.71	3.62	3.75	2.02	
Athens	37.90 / 23.73	August	3.49	3.43	3.47	1.50	
Lisbon	38.72 / -9.15	July	2.87	3.47	4.68	2.08	
Singapore	1.37 / 103.98	June	1.51	2.28	2.19	2.72	
Hong Kong	22.30 / 114.17	July	1.35	2.40	2.46	1.64	
Trieste	45.65 / 13.75	July	2.84	2.81	2.81	1.64	

The base case for the assessment considers a solar array ( $SOL_{array}$  -  $m^2$ ) that occupies 50% of the façade area, which equals 6.8 m<sup>2</sup> in the defined office room. This area for solar collection may be used with PV panels or thermal collectors, to provide input for solar electric or thermal driven cooling systems respectively. For purposes of the assessment, this is represented by the coefficient of performance associated with each technology type (COP<sub>solarsys</sub>). For photovoltaics, current performance values were obtained from the 8th edition of the International Technology Roadmap for Photovoltaic (ITRPV), developed by over 50 research institutions and companies in the field. Crystalline silicon modules largely dominate the market, with a share of about 90%, over thin film and organic PV cells, which also consider lower efficiencies. The stabilised efficiency values for (single and poly-crystalline) silicon solar cells are currently between 18.5 and 23%, range considered for the assessment, with prospect ranges for 2027 circling around 20%-26% (VDMA, 2017). Current values also comply with the predictions stipulated at the last Technology Roadmap elaborated by the International Energy Agency (OECD/IEA, 2014), evidencing systematic and continuous technological improvements.

Regarding solar thermal collectors, their nominal efficiency follows the curves shown in Figure 8.1, being highly dependent of the temperature differential between ambient and working temperatures in the collector. For solar cooling applications, driving temperatures are in the range of 50-90 °C (desiccant), 65-90 °C (adsorption), and 80-110 °C (absorption) (SOLAIR, 2009), resulting on a temperature differential range of approx. 20-80 °C considering a base ambient temperature of 30. Using this range as reference, resulting nominal efficiencies are around 40-75% and 60-75% for flat plate and evacuated tubes collectors respectively (OECD/IEA, 2012). On the other hand, experimental measurements of solar collectors coupled to solar cooling systems have shown slightly lower efficiencies in practice. For evacuated tube collectors (ETC), values around 55-60% have been consistently obtained (Crofoot & Harrison, 2012; Reda et al., 2016), with peaks up to 78% (Reda et al., 2017). In the case of flat plate collectors, there are cases with relative high efficiencies, around 50-65% (Abdel-Salam et al., 2014; Enteria & Mizutani, 2011; Henning et al., 2001), and others with low reported values circling 20-30% (Chang et al., 2009; Selke et al., 2016). Considering all of the above, it was decided to use thermal efficiencies in the range of 55-65% for the purpose of the assessment.

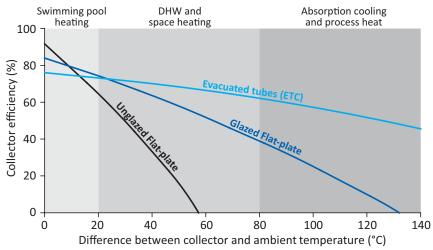


FIGURE 8.1 Graph of solar thermal collector (STC) efficiency vs temperature differential.

The last parameter refers to the coefficient of performance of the solar cooling system ( $COP_{coolsys}$ ). The solar cooling technologies considered in the assessment are depicted in Table 8.5, along with their general expected performance ranges, based on the specialised literature (Kohlenbach & Jakob, 2014; SOLAIR, 2009). Additionally, efficiencies for solar cooling concepts and prototypes over 0.5 kW and under 5 kW are shown, based on the state-of-the-art review conducted in Chapter 7. The specific performance of these experiences is highly relevant due to the low capacities to be met by the façade integrated systems, ranging from 0.8 to 1.8 kW in the assessed scenarios. The highest registered values (Elmer et al., 2016; Finocchiaro, Beccali, Brano, et al., 2016; Irshad et al., 2017; Jaehnig, 2009; Reda et al., 2017) are used as reference of the current limits of the technology for small-scale applications, but evidently further research is needed to ensure these values under real continuous operation.

TABLE 8.5 Solar cooling technologies considered in the assessment and performance ranges reported in the literature.

ENERGY INPUT	COOLING TECHNOLOGIES	GENERAL COP <sub>COOLSYS</sub>	COP 0.5 - 5 KW
Solar Electric	Thermoelectric cooling	-	0.66 - 1.15
Solar Thermal	Absorption cooling	0.50 0.75	0.23 - 0.78
	Adsorption cooling	0.50 - 0.70	0.12 - 0.63
Solid desiccant ccoling		0.50 - 1.00	0.20 - 1.25
	Liquid desiccant cooling	≈1.00	0.40 - 1.26

Each coefficient of performance refers to the main energy input, so they correspond to thermal and electrical efficiency for solar thermal and solar electric respectively. In the case of thermoelectric cooling, space cooling application is in early R&D stages, so further developments are needed to come up with general COP values. Also, as mentioned before, these values account for the main cooling process, providing a simplified assessment without considering other types of energy to power up additional equipment, such as pumps for absorption heat pumps, or evaporative cooling units for desiccant systems. On the other hand, thermoelectric cooling is driven by direct current, so an inverter and subsequent losses derived by do not need to be considered in the calculations (Prieto et al., 2017a).

The assessment is carried out in two stages. Firstly, electrical and thermal solar fractions for all orientations and locations are shown and described, discussing the climate related application feasibility of the selected cooling technologies. Secondly, further optimisation of the results is carried out, exploring the impact on the solar fraction following higher exposed collector area, and a lower tilt angle on PV panels and thermal collectors. The discussion then will revolve around certain design constraints for façade integration, along with application possibilities in other climates not fully covered under the first assessed scenario.

#### § 8.3 Results and discussion

#### § 8.3.1 Climate feasibility for the application of solar cooling integrated façades

As explained before, the first stage of the evaluation sought to explore the climatic potential of different locations, for the application of solar cooling integrated façade concepts. Local solar availability and cooling demands were considered as the differentiating parameters between the addressed climate contexts for the evaluation. The results, depicted in graphs under Figures 8.2 and 8.3 for warm dry and warm humid climates respectively, are presented in terms of resulting solar fraction (SF) compared to the coefficient of performance of any given solar cooling system ( $COP_{coolsys}$ ). This allowed for the exploration of the local circumstances and climatic potential of all addressed locations in general, before discussing the applicability of specific solar cooling systems. Furthermore, the graphs serve as charts to check how efficient should a system be in order to reach a solar fraction of 100% in the defined scenarios.

The graphs consider thermal COP and electric COP separately, based on the efficiencies of solar thermal collectors (STC) and photovoltaic panels (PV) respectively. Moreover, each one is depicted by two trend lines, representing the maximum and minimum efficiencies considered in the evaluation for STC (55-65%) and PV (18.5-23%). Consequentially, from a performance standpoint, solar electric cooling systems start with a disadvantage, needing higher COPs to account for the lesser efficiencies of PV panels compared to STCs.

Taking a general look at the results, there is a clear trend in favour of warm dry climates, making them more generally suited for solar cooling applications. This is not surprising, considering the overall higher solar availability and relative lower cooling demands compared to humid climate contexts. Evidence of this is the fact that Lisbon comprises the best results in all orientations, while the worst results are reported in either Singapore or Hong Kong, due to less solar availability and the highest calculated cooling demands for the simulated scenario.

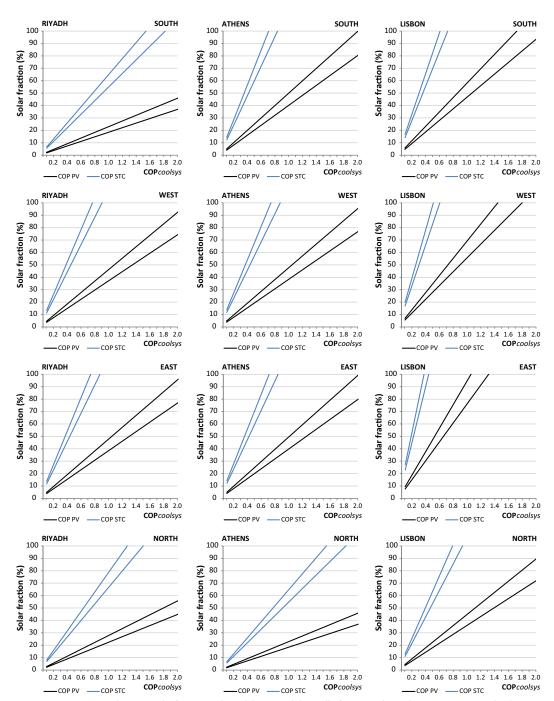


FIGURE 8.2 Comparison between solar fraction and COP (electric and thermal) of a given solar cooling system in warm dry climates.

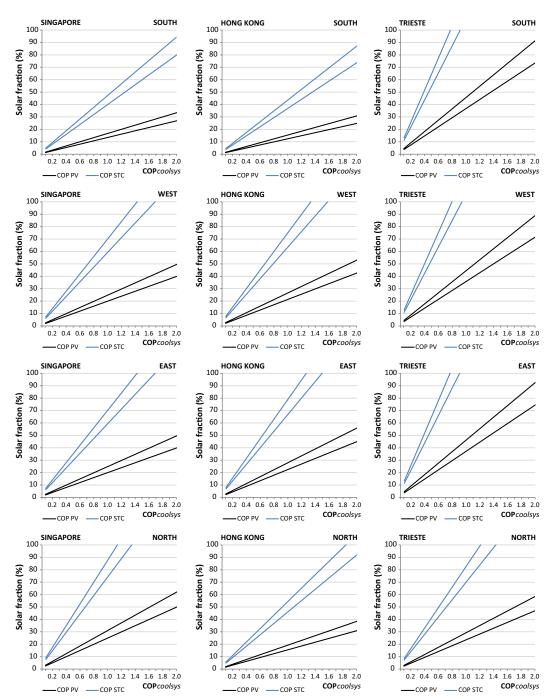


FIGURE 8.3 Comparison between solar fraction and COP (electric and thermal) of a given solar cooling system in warm humid climates.

This fact is especially clear in west and east orientations, where an arrangement of best to worst results puts Lisbon, Athens and Riyadh (warm dry climates), ahead of Trieste, Hong Kong and Singapore (warm humid climates). Within each climate group, locations are also neatly arranged following the severity of the context, from mild to extremes. Hence, for these orientations, temperate climates are more suited for solar cooling façade application than extreme climates, although extreme dry contexts (desert) are more suited than temperate humid ones. In the case of south applications, locations between the equator and the tropic of cancer (Singapore, Hong Kong and Riyadh) have the worst results, due to the severity of the climate and less solar radiation being harvested by a 90° tilted south facing plane because of the high solar irradiance incidence angle. At the same time, Singapore has the second best results for north orientations (only after Lisbon), benefiting of direct irradiance on north facing façades by being virtually on the equator.

Discussing applicability potential on each specific context and orientation, four distinct trends were found in the evaluation of the six locations. In the cases of Riyadh and Hong Kong (a), results for east and west applications are the best, and very similar to each other. Then north applications, and finally south ones. This is explained by the low latitudes of these locations, as argued before. Secondly, in the cases of Athens and Trieste (b), east, south and west applications have very close results, being virtually tied with a minor advantage for east façades, while north application is markedly underwhelming in comparison.

Façade applications in Lisbon (c) favour east orientation with difference, steadily declining for west, south and north (best to worst). Finally, Singapore (d) is regarded as a special case due to its particularities already discussed, showing best results for north applications, with east/west following and south far behind. Interestingly, with the sole exemption of Singapore, east orientation seems to be the most suitable for the general application of façade integrated solar cooling systems. This is explained by the good solar availability on a 90° tilted plane on both east and west orientations, plus the lower cooling demands on east facing rooms, compared to west offices.

The next step after assessing the climatic and solar potential of each selected location, was to evaluate the feasibility of the application of self-sufficient solar cooling façade modules in their different orientations, based on reported performance values associated to currently available technologies. Based on the graphs above, Table 8.6 shows the COP values that a solar cooling system ( $COP_{coolsys}$ ) should meet, in order to reach a solar fraction of 100% at every location and orientation. These values are calculated assuming maximum efficiencies for STC and PVs (65% and 23% respectively), to draw the line at the minimum  $COP_{coolsys}$  required for every scenario.

TABLE 8.6 Minimum COP values required for a solar cooling system (COP<sub>coolsys</sub>) in order to reach a solar fraction of 100% per orientation and location.

SOLAR COOLING	LOCATION	REQUIRED MINIMUM COP <sub>COOLSYS</sub> FOR SF=100%						
		SOUTH	WEST	EAST	NORTH			
Electric	Riyadh	4.36	2.17	2.09	3.59			
COD	Athens	2.01	2.10	2.02	4.37			
COP <sub>solarsys</sub> = 0.23	Lisbon	1.72	1.45	1.05	2.24			
	Singapore	5.99	4.04	4.04	3.23			
	Hong Kong	6.50	3.78	3.58	5.21			
	Trieste	2.19	2.25	2.16	3.43			
Thermal	Riyadh	1.54	0.77	0.74	1.27			
600	Athens	0.71	0.74	0.71	1.55			
COP <sub>solarsys</sub> = 0.65	Lisbon	0.61	0.51	0.37	0.79			
	Singapore	2.12	1.43	1.43	1.14			
	Hong Kong	2.30	1.34	1.27	1.84			
	Trieste	0.78	0.80	0.76	1.21			

The required minimum  $COP_{coolsys}$  values were then compared to the COP ranges registered in Table 8.5, for small-scale application of current solar cooling technologies. The cases that meet the calculated requirements for a solar fraction of 100% are highlighted in colour in Table 8.6, showing the theoretical feasibility of solar electric or solar thermal integrated façade units, based on the assumed scenarios.

As mentioned before, discussing performance limits, solar electric systems have a disadvantage due to the lower conversion efficiencies of PV panels compared to STCs. This is evident by looking at Table 8.6, showing that thermoelectric cooling technologies are only capable to meet the cooling requirements in an east oriented room in Lisbon, while required COP values in south and west orientations are above the 1.15 maximum reported for the technology. The lower efficiencies of PV panels, ask for very high efficiencies from the solar cooling system to compensate in most locations. This drawback remains even considering the hypothetical use of vapour compression cooling systems coupled to PV panels for energy input, with markedly higher COP compared to thermoelectric cooling units. Nominal Energy Efficiency Ratios (EER) of commercial small residential units circle around of 12-13, which translate to electric COP values of 3.5-3.8 (Goetzler et al., 2014), or 3.15-3.4 for the entire system considering an inverter (90% efficiency) to change the current from DC to AC for the operation of the cooling unit. These COP values mean that small-scale vapour compression heat pumps could deliver sufficient cooling in all orientations for Lisbon and Trieste, west and east in Riyadh, west, east and south in Athens, and only north in Singapore (bold but black in

Table 8.6). This shows that even the most efficient cooling technology currently available in the market cannot meet cooling demands in challenging climates by means of purely solar energy input. Hence, besides on-going explorations in the field of thermoelectrics, further development of PV technologies is needed in order to promote general solar electric facade integrated concepts.

On the other hand, thermal technologies have higher potential for application, judged solely by their reported efficiencies. Firstly, adsorption cooling systems, with maximum reported COP values circling 0.65, only seem to cope with cooling requirements in south, east and west orientations in Lisbon, being the most constrained solar thermal technology. Nonetheless, the maximum thermal COP around 0.8 reported for smallscale absorption heat pumps, would be enough to back their application in south, west and east orientations of Trieste and Athens, east/west orientations in Riyadh, and all orientations in Lisbon. Finally, desiccant cooling technologies (solid and liquid), with higher reported COP values up to 1.25, may also meet the cooling demands of north facing rooms in Singapore and Trieste, besides being close to the required COP for east and west orientations in Hong Kong. It is worth mentioning that the orientations and locations where the cooling demands are potentially covered entirely by solar thermal systems, are the same cases that could be potentially covered with integrated smallscale vapour compression heat pumps. Hence, even though the latter technologies have higher COP values, regarded as more efficient, solar thermal systems may potentially achieve the same goal, through environmentally friendly cooling processes with low Global Warming Potential (GWP) refrigerants.

# § 8.3.2 Impact of façade design on solar collection and resulting solar fraction

Undoubtedly, improvements on the performance of solar cooling systems and solar energy conversion technologies would increase the applicability of integrated façade concepts. However, the design of the façade system itself may improve its potential for solar collection, providing higher energy input to the cooling system and therefore higher cooling output, even if current COP values are maintained. Therefore, the second evaluation stage explored the impact of the solar array on the overall performance, discussing constraints and possibilities for facade design.

Further optimisation of the solar fraction per location/orientation was sought by exploring two parameters: dimension of the solar array, and tilt of the PV or STC panels. The impact of a larger solar array is evident, with a direct correlation between its dimensions and the solar radiation harvested by it. The impact of panel tilt on the other

hand, largely depends on each orientation and location. The graphs in Figure 8.4 show the relation between solar irradiance on a exposed plane facing all orientations, and the tilt of said plane referring to the horizontal; on every addressed location. The graphs start with a  $90^{\circ}$  tilt, corresponding to a vertical wall, reaching an inclination of  $60^{\circ}$  to establish a trend. It is clear that the effect of the tilt is particularly relevant in south oriented facades, as well as some north orientations.

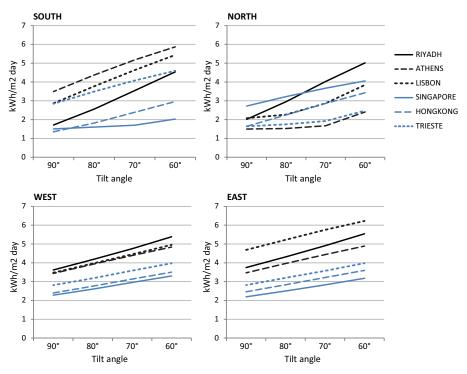


FIGURE 8.4 Relation between solar irradiance and the tilt of the receiving surface, for every orientation and location.

Higher solar yields certainly increase the application possibilities of self-sustaining solar cooling façade concepts. However, larger sizes and panel tilt potentially required for the solar array also imply design constraints for façade composition. These design implications and potential improvements in the performance of the systems are discussed by means of different scenarios for the evaluation, showcasing broad formal solutions derived by performance based decisions. Four scenarios were considered, based on the combination of array size and panel tilt, shown in Figure 8.5. Scenario A is the base case used in the first evaluation stage, comprising 50% of the façade area

for a vertical solar array (90° panel tilt). Scenario B maintains the tilt, but increases the size of the solar array up to 75% of total façade area. On the opposite, under scenario C, tilt angle is lowered to 60° while the initial array size is maintained. Finally, scenario D's solar array spans 75% or the total façade area, with a slight tilt of 80°, which allows its use as façade cladding preventing self-shading. These selected scenarios are presented for discussion purposes as possible variations within an infinite amount of combinations and design choices. Nonetheless, their level of abstraction means that detailed analyses are required in order to move forward for hypothetical real applications under a finalised façade design concept.

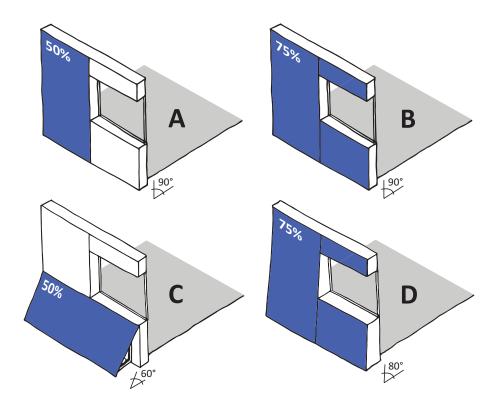


FIGURE 8.5 Scenarios considered in the assessment, combining array size and panel tilt possibilities.

Reference COP values for the solar array and solar cooling systems were defined for the purpose of the evaluation, considering thermoelectric, sorption and desiccant technologies (Table 8.7). The last two groups combine absorption and adsorption; and solid and liquid desiccants respectively, due to the closeness of their performance,

to simplify the assessment. Moreover, maximum efficiencies of PV and STCs are assumed for thermoelectric and desiccant systems respectively. The fact that sorption technologies require higher input temperatures to properly operate (Prieto et al., 2017a) was considered by assuming a lower *COP*<sub>solarsvs</sub> in the calculations.

TABLE 8.7 Reference COP values for solar array and solar cooling systems used in the assessment.

SOLAR COOLING TECHNOLOGIES	COP <sub>SOLARSYS</sub>	COP <sub>COOLSYS</sub>
Thermoelectric (TE)	0.23	1.15
Sorption (ABS-ADS)	0.55	0.75
Desiccant (SDEC-LDEC)	0.65	1.25

The results are presented in Table 8.8 and Table 8.9, in terms of the calculated solar fraction (SF) for every scenario, in each location and orientation. Evidently, scenarios with tilted panels generate higher solar fractions compared to scenarios with same solar array dimensions, in a fully vertical position; so regarding solar fraction, scenario C will always be better than scenario A (50% façade area), and results from scenario D will always surpass the results from scenario B (75% façade area). However, the optimal case varies from C to D, depending on the orientation, with general improvements in the range of 133%-265% compared to the results from the base case (A).

For east and west applications, scenario D is always the best. Moreover, in these cases, scenario B has also better (Lisbon, Athens and Trieste) or equal (Riyadh, Hong Kong and Singapore) results than scenario C. Hence, in east and west orientations, more panel area is preferable to tilted applications. In the cases of north and south orientations, results differ according to each location. In Riyadh and Hong Kong, both orientations have better results by scenario C, thus less tilt angle is preferable than more panel area. On the contrary, scenario D is the best for both orientations in Singapore and Trieste, benefiting from more exposed surface. Finally, in Athens and Lisbon, higher solar fractions for south applications are obtained with scenario D, while scenario C is better for north orientations.

For all cases, the best results are obtained either under scenario C or D, with the sole exemption of Singapore, where best scenarios are either D or B. In this case, lower panel tilt is not rewarded, possibly due to the higher percentage of diffuse radiation in the global solar irradiance, in a location characterised by high cloud coverage along the year.

TABLE 8.8 Solar fraction for scenarios A & B in all locations and orientations.

LOCATION	SOLAR	A (%)				B (%)	B (%)			
	COOLING	SOUTH	WEST	EAST	NORTH	SOUTH	WEST	EAST	NORTH	
Riyadh	TE	26	53	55	32	40	80	82	48	
	ABS/ADS	41	83	86	50	62	124	129	75	
	SDEC/LDEC	-	-	-	-	-	-	-	-	
Athens	TE	57	55	57	26	86	82	85	39	
	ABS/ADS	89	85	89	41	134	128	133	62	
	SDEC/LDEC	176	168	175	81	264	252	263	121	
Lisbon	TE	67	80	109	51	100	119	164	77	
	ABS/ADS	104	124	170	80	156	186	255	120	
	SDEC/LDEC	205	244	335	158	307	366	503	237	
Singapore	TE	19	28	28	36	29	43	43	53	
	ABS/ADS	30	44	44	56	45	67	67	83	
	SDEC/LDEC	59	87	88	110	88	131	131	164	
Hong Kong	TE	18	30	32	22	27	46	48	33	
	ABS/ADS	28	47	50	34	41	71	75	52	
	SDEC/LDEC	54	94	99	68	82	140	148	102	
Trieste	TE	52	51	53	34	79	77	80	50	
	ABS/ADS	82	80	83	52	123	120	124	79	
	SDEC/LDEC	161	157	163	103	242	235	245	155	

The identification and discussion of the best results is useful to understand the impact of panel tilt and solar array size on the selected locations, establishing priorities for the design of optimal solar integrated facades per orientation, based on the resulting solar fraction of the overall system. However, the feasibility of a self-sufficient solar cooling façade, based on a specific technology, depends on said technology being able to provide a solar fraction of 100%. The cases that result on solar fractions over 100% are highlighted in blue in Tables 8.8 and 8.9, and cases over 90% are marked in bold.

Thermoelectric systems reach a solar fraction of 100% in Lisbon for south, east and west orientations under scenarios B, C and D; and in Athens only for south application in scenario D (east/west showing 94%-98%). Maximum values for north orientation in Lisbon reach 95% in scenario C. Results for other locations show maximum values of 87%-95% for east/west and 70%-97% for south orientations in Riyadh and Trieste. In Singapore and Hong Kong, east/west applications centre around 49%-56%, while south results are in the range 31%-39%. Maximum results for north oriented façades, excluding Lisbon, revolve around 42% and 80% (Athens and Riyadh, respectively).

TABLE 8.9 Solar fraction for scenarios C & D in all locations and orientations.

LOCATION	SOLAR	C (%)				D (%)			
	COOLING	SOUTH	WEST	EAST	NORTH	SOUTH	WEST	EAST	NORTH
Riyadh	TE	70	79	81	80	59	92	95	70
	ABS/ADS	109	123	127	124	92	143	148	110
	SDEC/LDEC	-	-	-	-	-	-	-	-
Athens	TE	96	77	80	42	108	94	98	40
	ABS/ADS	150	120	125	66	168	146	152	63
	SDEC/LDEC	296	237	247	130	331	288	300	124
Lisbon	TE	126	113	145	95	132	136	182	84
	ABS/ADS	197	177	226	148	205	212	284	131
	SDEC/LDEC	388	348	445	292	404	418	560	259
Singapore	TE	26	41	41	53	31	49	49	63
	ABS/ADS	40	64	64	83	48	76	76	99
	SDEC/LDEC	80	127	127	163	94	150	150	195
Hong Kong	TE	39	45	47	46	36	53	56	45
	ABS/ADS	60	69	73	72	56	82	87	71
	SDEC/LDEC	119	137	144	141	109	162	171	139
Trieste	TE	85	72	75	51	97	87	91	54
	ABS/ADS	132	113	117	79	151	135	141	84
	SDEC/LDEC	260	222	231	155	297	267	279	165

Sorption based cooling achieves a SF over 100% in all orientations in Riyadh (C), and Lisbon (B, C, D). Application in south, east and west oriented façades in possible in Athens (B, C, D), Lisbon (A), and Trieste (B, C, D). Besides Riyadh and Lisbon, north application is only barely possible in Singapore (D), where SF reaches 99%. Apart from this, solar fractions in Hong Kong and Singapore reach 60% and 48% respectively for south orientations, while east/west applications reach up to 87% and 76%.

Finally, the higher COP of desiccant cooling systems increases their chances of application in different contexts. Riyadh was exempted due to the operational inapplicability of desiccant cooling in its climate. These systems work by enhancing the operation of evaporative coolers by taking care of the latent loads, through dehumidification of incoming fresh air. Riyadh experiences only sensible cooling loads, so the application of solar based dehumidification does not make sense (evaporative cooling is advised, though). Based on the assumed COP for desiccant technologies, these systems could reach a SF of 100% at all orientations in Athens (B, C, D), Lisbon (all scenarios), Trieste (all scenarios), and Hong Kong (C, D). In Singapore, only east, west

and north orientations are entirely covered, with a maximum south solar fraction of 94% (scenario D).

 ${\sf TABLE~8.10~Recommendations~for~further~development~of~integrated~façade~concepts~in~each~assessed~location/climate~context.}$ 

CLIMATE ZONES	LOCATION	RECOMMENDED SOLAR COOLING TECHNOLOGY	RECOMMENDATIONS FOR INTEGRATED FAÇADE DESIGN
Hot desert (BWh)	Riyadh	Sorption cooling (ABS/ADS)	Application in all orientations is potentially feasible. North and South applications depend on tilt, while East/West ones have more flexibility, being solved by either panel tilt or higher panel area.
Hot summer mediterr. (Csa)	Athens	Sorption cooling (ABS/ADS) & Thermoelectric cooling (TE)	South, East and West orientations are potentially suitable for TE application, reaching SF values close to 100% under high design constraints (panel tilt and high panel/façade ratio are required). The same orientations may be covered by sorption systems by means of either panel tilt or higher panel area.
	Lisbon	Thermoelectric cooling (TE)	South, East and West orientations are suitable for TE application, using either tilted panels or higher panel/façade ratio. For north applications, SF values close to 100% are reached through lower tilts.
Tropical rain- forest (Af)	Singapore	Desiccant cooling (DEC)	Suitable for north application in all scenarios. East and west application feasible, by lower panel tilt or higher panel/façade ratio. South application highly constrained, requiring optimisation of both parameters to reach SF: 94%
Humid Sub- tropical (Cwa/ Cfa)	Hong Kong	Desiccant cooling (DEC)	Desiccant cooling can provide sufficient SF for west and east orientations in virtually all scenarios (base case: 94%-99%). South orientation requires panel tilt and north applications may be solved by either panel tilt or higher panel area.
	Trieste	Sorption cooling (ABS/ADS) & Desiccant cooling (DEC)	Desiccant cooling application is feasible for all orientations in all scenarios. Sorption cooling is feasible for south, east and west application, by means of either panel tilt or higher panel/façade ratio.

Based on the assessment, some recommendations for the development of integrated façade concepts are drafted and depicted in Table 8.10, considering prospects for the assessed technologies in all selected locations, and design considerations for the optimisation of the solar radiation input. It is worth pointing out that applications on all orientations on virtually every addressed location are possible, under current performance values assumed in the evaluation. Hence, this is regarded as evidence of current opportunities for the development of integrated façade concepts, even

considering important design constraints. Further improvements on the performance and efficiency of compact solar cooling systems, especially designed for façade integration, will undoubtedly increase façade design variety and flexibility. Nevertheless, the numerical feasibility obtained by the assessment shows that solar driven cooling systems do not necessarily need to reach the same COP values of vapour compression heat pumps, in order to be a competitive alternative in specific locations.

### § 8.4 Conclusions

This chapter sought to assess the potential for the application of self-sufficient solar cooling facades in several warm climates across the northern hemisphere. The assessment focused on numerical calculations of the general efficiencies required by solar cooling technologies to meet cooling demands in several locations; exploring prospects in different climate contexts and orientations, while discussing certain design constraints for façade composition. The calculations were mainly based on solar availability, from statistical climate data; cooling requirements per orientation/location, from dynamic simulations; and reported efficiencies of state-of-the-art solar cooling concepts as reference of current limits of the technology. Different scenarios were explored to discuss the impact of certain design parameters (panel tilt and panel/façade area ratio) on the performance of the façade configurations, per orientation and location.

Unsurprisingly, warm-dry climates were found to be more suited for solar cooling façade applications, due to their higher solar availability and relative lower cooling demands, compared to humid climates. Regarding orientations, the use of vertical solar panels as a base case shows that with minor exemptions, east/west applications are the best suited, favouring dry over humid climates, and temperate climates over extreme environments. For south applications, locations between the tropics have the worst results, due to both climate severity and low solar incidence angle in facades. Contrarily, locations near the equator present better opportunities for north façade applications.

Regarding the feasibility of particular solar cooling technologies, solar electric processes are more constrained due to the lower efficiencies of PV panels compared to solar thermal collectors, and limited efficiencies of thermoelectric modules. Hence, self-sufficient façade modules are only theoretically feasible on east orientations in Lisbon. Based purely on performance values, solar thermal technologies have a wider range for application, reaching a solar fraction of 100% in all orientations in Lisbon and Trieste,

and in some orientations in Riyadh, Athens and Singapore. Application possibilities expand when considering more area for the solar array and lower tilt angle on the panels, but they imply aesthetical and constructional constraints for façade design. Based on this, recommendations for the development for integrated façade concepts were drafted, considering prospects for the exploration of suitable technologies for specific locations, and façade design considerations for the optimisation of the solar input per orientation.

Further development of thermoelectric façade concepts is recommended for application on the temperate dry climates of Lisbon and Athens. The former allows for more design flexibility, but either panel tilt or a solar array over 50% are required to fully cover cooling demands in most orientations. Results showed that application in Athens is potentially feasible, but the design is heavily constrained. In any case, the simplicity associated with the technology makes it worth exploring for clear feasibility on mild dry locations.

Discussing solar thermal, sorption cooling systems are recommended for application in Riyadh, Trieste and Athens. All orientations on temperate climates are potentially covered with minor extra design constraints, compared to the base scenario; which also extends to east/west application in Riyadh. Application on north/south façades in Riyadh requires lower panel tilt to reach a solar fraction of 100%. The higher reported performances of desiccant cooling technologies and their particular handling of latent loads, make them especially suited for humid environments. Thus, the development of desiccant integrated façade units is recommended for Trieste, Hong Kong and Singapore. On temperate environments, reported COP values are theoretically enough to allow for application on all orientations with minor design constraints. On Hong Kong and Singapore, west, east and north applications are feasible with either lower panel tilt or higher panel area; but south applications are heavily constrained, particularly in tropical contexts.

The numerical assessment has shown that the application of solar cooling integrated façade concepts is theoretically feasible in virtually all climate contexts and orientations, although based on the upper limits of performance reported for the involved technologies and components; and important design constraints in some cases. Hence, further research on the performance of integrated and compact units is needed, in order to ensure reliable efficiencies and hopefully increase them to provide more flexibility for the design of façade systems. The fact that not every climate was found suitable for the application of every addressed solar cooling technology is not seen as a limitation, but rather as an opportunity to explore distinct integrated concepts with technology that responds better to the particularities of each climate context. In any case, regardless of future developments on the performance of the systems, the application of integrated facade concepts heavily relies on the optimisation of the solar input, and the reduction

of cooling demands through passive cooling strategies. Hence, a climate responsive approach to façade design is a basic condition; allowing for the integration of cooling systems only if still needed. Finally, it is important to reiterate that this assessment purely focused on numerical calculations and broad climate data to discuss application potential, but detailed calculations and dynamic performance simulations would be needed for the design of a façade unit for a particular building in a specific context. Moreover, extensive research is still needed to solve technical issues for the operation of compact units, and to cope with architectural requirements for façade integration of required components and systems.

